

Report of the Director of Adults, Children & Education

**2012/13 FIRST QUARTER FINANCIAL & PERFORMANCE MONITORING  
REPORT – ADULT SOCIAL SERVICES**

**Summary**

- 1 This report analyses the latest performance for 2012/13 and forecasts the financial outturn position by reference to the service plan and budgets for all of the relevant services falling under the responsibility of the Director of Adults, Children and Education.

**Financial Analysis**

- 2 The Adult Social Services budget is reporting early financial pressures of £2,628k (5.6% of the £47,135k net budget) where pressures that have been evident in previous years related to demand for care still remain.
- 3 Pressure on Adult Social Care budgets is, of course, very much a national and a topical issue. In the last couple of months, one Council (Barnet) has attracted national publicity for publishing a graph that shows that within 10 years, its entire budget will be swallowed up by social care costs. The LGA has conducted a more recent modelling exercise that predicts a 29% shortfall between revenue and spending pressures by the end of the decade.
- 4 The demographic trends indicate that there has been an increase of over 30% in the number of over 85's between the 2001 and 2011 census data. Further projections indicate a further 9% increase in over 85's by 2015 and 21% by 2020 with a 35% increase in over 90's by 2020. This means not just an increase in the numbers of older people requiring care, but also more people having complex and more costly care needs for longer periods of time.
- 5 The strategy to address these trends and their incumbent pressures has been to develop early interventions that address needs early and prevent the escalation into more complex care needs and more expensive care packages.
- 6 There is also a shared ambition across local government and health agencies to see health care delivered closer to home.

This is underlined in York by the need to work as a community to address the budget deficit within the local health care system. The North Yorkshire and York Review highlighted the need for more joined up working and the need to reduce hospital admissions and lengths of stay. This approach does mean that more people will require social care support and this is currently an area of major concern as early discharge from hospital leads to people with complex care needs requiring very expensive care within their community.

- 7 We have seen increasing numbers referred from the hospital for discharge support over the last two years:
  - Average of 125 a month in 2010-11
  - Average of 135 a month 2011-12 and this trend is continuing
- 8 People are leaving hospital on average 7 days earlier this year. This means that they require more social care for longer. This is a positive indicator for delivery of the care closer to home strategy, but progress in one part of the system brings pressures in other parts. We are working together with health colleagues to establish joint care teams that focus on the needs of people with long term health conditions and/or who are most at risk of admission to hospital. This admission avoidance and support to timely discharge will have positive impacts on the health and social care system and costs.
- 9 Homecare – The Homecare service has been substantially redesigned and we have been successful in signposting customers with low level needs to other forms of provision. This has meant that the number of customers has remained stable despite the growth in the number of potential customers, but it does also mean that the customers receiving the service have more complex needs. This is one reason why, despite unit costs going down following the outsourcing of the service weekly, spend on our home care contracts has increased from £54k a week in July 2011 to £80k a week in July 2012. This results in a forecast financial pressure of some £1,549k.
- 10 In addition, more people have opted to take direct payments than anticipated and the numbers are likely to increase as personalisation of services is rolled out further. The projected overspend of £297k is despite £500k of growth being allocated to this area in the 2012/13 budget.
- 11 All high cost packages have to be authorised by a Spend Panel, including The Assistant Director, Group Managers and Commissioning and Contracts Manager to ensure the needs are evidenced and eligible and that the costs are in line with market rates.
- 12 Residential and Nursing Care - The number of admissions to care homes has remained fairly stable, but as predicted the demographic pressures and the increasing ability to support people at home for longer means people are needing more intensive support as they enter care homes.

This is leading to higher costs in nursing homes, and for some residents additional 1:1 support to keep them safe, leading to a forecast financial pressure of £457k, including reduced income at CYC homes.

- 13 Demographic pressures are also evident in Adult Transport with a forecast overspend of £264k.
- 14 With developments in medical science young people with complex needs are living for longer and moving from children's services to adults services where they can need intensive support to keep them safe and able to live a full life. 35 young people have moved from children's to adults' services in the last two years, which is a trend we would not have seen even 5 years ago.
- 15 Other mitigating actions have also been identified to help compensate for some of these pressures. As well as a number of vacant posts being held whilst the Business Change workstreams continue, and the continuation of a moratorium on non essential expenditure, the directorate is also assessing 2013/14 savings proposals that could be brought forward and reviewing commissioning budgets and new customer/scheme developments with a view to identifying additional one-off savings for 2012/13.

### **Performance Analysis**

- 16 Of the 24 in year indicators, ten are green, indicating that they have achieved expected target for Q1; six are amber which indicate that although the target for Q1 has been missed, they are within an expected tolerance and 7 are marked as red which indicate that they have missed target and are outside of tolerance. One measure which is still benchmarked nationally is set as monitor only as it has a more relevant local target in place.

Code	Description of PI	11/12		12/13				
			Year End		Qtr 1	Qtr 2	Qtr 3	Year End
A&S1C (NPI 130)	Customers & Carers receiving Self Directed Support (Direct Payments and Individual Budgets)	Target	37.0 %	Target	MON	MON	MON	MON
		Actual	32.1 %	Actual	30.3 %			
A&S1C Part2 (NPI 130)	Customers & Carers receiving Self Directed Support (Direct Payments ONLY)	Target	-	Target	10.0 %	13.5 %	17.5 %	20.0%
		Actual	-	Actual	10.6 %	-	-	
A&S1C REGIONAL	Customers & Carers receiving Self Directed Support (Direct Payments and Individual Budgets)	Target	-	Target	70.0 %	73.3 %	76.6 %	80.0%
		Actual	65.9 %	Actual	73.6 %	-	-	
A&S1G (NPI 145)	Adults with learning disabilities in settled accommodation	Target	67.0 %	Target	18.5 %	37.0 %	55.5 %	74.0%
		Actual	73.1 %	Actual	8.0%			

Code	Description of PI	11/12		12/13				
			Year End		Qtr 1	Qtr 2	Qtr 3	Year End
A&S1E (NPI 146)	Adults with learning disabilities in employment	Target	5.7 %	Target	2.8%	5.5%	8.3%	<b>10.0%</b>
		Actual	10.3 %	Actual	2.7%			
A&S2A	Permanent admissions to residential & nursing care homes per 100,000 population	Target		Target	32.7	65.3	98	<b>130.6</b>
		Actual	130.6	Actual	27.5			
PAF C72	Admissions - Permanent (65+) / Per 10,000 pop	Target		Target	15.7	31	47	<b>62.62</b>
		Actual	62.62	Actual	12.9			
PAF C73	Admissions - Permanent (18-64) / Per 10,000 pop	Target		Target	0.2	3	7	<b>0.69</b>
		Actual	0.69	Actual	0.23	-	-	
Delayed Discharges 1	Average weekly number of CYC Acute delayed discharges	Target	7.98	Target	7.90	7.90	7.90	<b>7.98%</b>
		Actual	8.69	Actual	10.46	-	-	
Delayed Discharges 2	Average weekly number of reimbursable delays (people)	Target	4.4	Target	3.8	3.8	3.8	<b>3.8</b>
		Actual	4	Actual	5			

Code	Description of PI	11/12		12/13				
			Year End		Qtr 1	Qtr 2	Qtr 3	Year End
Delayed Discharges 3	Average weekly number of bed days	Target	41.44	Target	33.3	33.3	33.3	33.3
		Actual	41.25	Actual	52.07			
Delayed Discharges 4	Total bed days cost	Target	215.5	Target	40.0	98.0	152.0	215K
		Actual	214.5	Actual	67.70			
A&SNPI 132 (Part1)	Timeliness of social care assessment - Commencement of Assessment within 2 weeks.	Target	-	Target	80.0%	80.0%	80.0%	80.0%
		Actual	-	Actual	25.0%			
A&SNPI 132 (Part 2)	Timeliness of social care assessment - Completion of assessment in 6 weeks.	Target	-	Target	80.0%	80.0%	80.0%	80.0%
		Actual	-	Actual	42.9%			

Code	Description of PI	11/12		12/13				
			Year End		Qtr 1	Qtr 2	Qtr 3	Year End
A&SNPI 133	Timeliness of social care packages	Target	90.0 %	Target	90.0 %	90.0 %	90.0 %	90.0%
		Actual	88.6 %	Actual	89.8 %			
A&S NPI35	Carers receiving needs assessment or review and a specific carer's service, or advice and information	Target	25.6 %	Target	6.3%	12.5 %	18.8 %	25.0%
		Actual	24.0 %	Actual	9.2%			
A&S NPI35 a	Joint Assessments that are unlinked on Fwi to Carer - snap shot	Target	-	Target	20	15	10	0
		Actual	21	Actual	20			
A&S NPI35 b	Carers Separate Assessment waiting list - snap shot	Target	-	Target	180	150	125	100
		Actual	207	Actual	214			
A&SD39	Statement of Needs	Target	96.0 %	Target	97.0 %	97.0 %	97.0 %	97.0%
		Actual	96.8 %	Actual	96.3 %			
A&SD40	All services Reviews	Target	90.0 %	Target	22.5 %	45.0 %	67.5 %	90.0%

Code	Description of PI	11/12		12/13				
			Year End		Qtr 1	Qtr 2	Qtr 3	Year End
		Actual	85.8 %	Actual	36.9 %			
A&SD54	Equipment - 7 days	Target		Target	96.0 %	96.0 %	96.0 %	96.0%
		Actual	96.8 %	Actual	97.1 %			
RAP A6	Assessments missing Ethnicity	Target	5.0 %	Target	5.0%	5.0%	5.0%	less than 5%
		Actual	2.9 %	Actual	7.1%			
RAP P4	Services missing Ethnicity	Target	5.0 %	Target	5.0%	5.0%	5.0%	less than 5%
		Actual	3.8 %	Actual	3.7%			
SGA1	Number of Safeguarding Alerts				<61	<61	<61	60
			738 (61 pcm)		54			

17 Adults with learning disabilities in settled accommodation: Performance here fell short of expectations for Q1 and has been attributed to the way in which reviews fall due in the year, i.e. there remain a large number of reviews due in Q3 and Q4 which must be completed before they can be counted against this measure. Work is being done to smooth this distribution by bringing forward reviews in year where appropriate.

18 Average weekly number of CYC Acute delayed discharges / Average weekly number of bed days / Total bed days cost. The pace and volume of hospital discharges has increased (13% over the last 12 months). In consequence there has, in recent weeks, been a rising trend in terms of delayed discharges.

The measures taken to mitigate this trend have included increasing the capacity of the contracted out re-ablement service, which will continue to increase, and increased planning with hospital colleagues. There are investigations into an 'open referral' systems where early notice is made to the hospital team before a patient is determined medically fit for discharge. This should allow anticipatory planning to take place to ensure prompt discharge.



19 Timeliness of social care assessment - Commencement of Assessment within 2 weeks./ Timeliness of social care assessment - Completion of assessment in 6 weeks. These local measures have been introduced to support the original measure of timeliness of assessment which no longer fits the model of delivery which would entail putting a proportion of people through 6 week re-ablement first. These are not performing as expected for 2 identified reasons: There has been a notable fall off in performance of the OT Team which was consistently high in this measure until this year, and the issues with managing duty in the assessment teams which is significantly impacting on their ability to manage assessment work.

20 Carers Separate Assessment waiting list. There remain a large number of carers on the waiting list. A trend towards separate assessments in previous years had increased the workload in this area.

The service is now working towards a presumption for a joint client and carer assessment unless there is an identified need to do separate assessments. The backlog is being addressed through telephone assessments. The committee is advised that despite this, the data shows demand for assessments and the waiting lists are still rising in year.

21 In areas of good performance, it should be noted that Eligible Customers & Carers receiving Self Directed Support (Direct Payments and Individual Budgets) has continued to rise since 2011/12 (73.6% against a Q1 target of 70%). This is a local version of the national measure which excludes individuals from the count who would not be eligible for Direct Payments.

22 The Number of Safeguarding Alerts in Q1 has dropped slightly against the 2011/12 figure and is below the expected level.

### **Council Plan**

23 The information included in this report demonstrates progress on achieving the council's corporate priorities for 2011-2015 and in particular, priority 4 'Protect Vulnerable People'

### **Implications**

24 The financial implications are covered within the main body of the report. There are no significant human resources, equalities, legal, information technology, property or crime & disorder implications arising from this report.

## Risk Management

25 The overall directorate budget is under significant pressure. This is particularly acute within Adult Social Services budgets. On going work within the directorate may identify some efficiency savings in services that could be used to offset these cost pressures before the end of the financial year. It will also be important to understand the level of investment needed to hit performance targets and meet rising demand for key statutory services. Managing within the approved budget for 2012/13 is therefore going to be extremely difficult and the management team will continue to review expenditure across the directorate.

## Recommendations

26 As this report is for information only there are no specific recommendations.

Reason: To update the committee on the latest financial and performance position for 2012/13.

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Report  
Approved

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Specialist Implications Officer(s) None

Wards Affected: List wards or tick box to indicate all

All

For further information please contact the authors of the report

## Background Papers

First finance and performance monitor for 2012/13, Cabinet 4 September 2012

## Annexes

None